

MEGHA CHOWDHURY

COMPANY SECRETARY

Secretarial compliance report of VISCO TRADE ASSOCIATES LTD for the year ended 31st March, 2019.

To,

The Members of Visco Trade Associates Ltd.

1. I Megha Chowdhury have examined:

(a) all the documents and records made available to us and explanation provided by **VISCO TRADE ASSOCIATES LTD.** ("the listed entity"),

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity,

(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2019 ("Review Period") in respect of compliance with the provisions of:

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and

(b) the **Securities Contracts (Regulation) Act, 1956** ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

2. The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) **Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;**

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;

(e) **Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014:-N.A to the Company period under review**

(f) **Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008:- N.A to the Company period under review**

(g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013:- **N.A to the Company period under review**

(h) **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;**

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(i) **Securities and Exchange Board of India (Depository and Participants) Regulations, 1996** up to October 02, 2018 and **Securities and Exchange Board of India (Depository and Participants) Regulations, 2018** up to October 03, 2018;

and circulars/ guidelines issued there under;

and based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under,

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my/our examination of those records.

(c) No actions was taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) **under** the aforesaid Acts/ Regulations and circulars/ guidelines issued there under insofar as it appears from our examination of those records.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports does not arise in the Review Period.

Place: Kolkata

Date: 19th June 2019



For **Megha Chowdhury**

C P Number:16482

Company Secretary

Megha Chowdhury

Megha Chowdhury

Proprietor

Membership Number: 44567